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APPLICATION FOR U.S. PATENT UNDER 37 C.F.R. § 1.53(b)
TRANSMITTAL FORM

Box Patent Application
ASSISTANT COMMISSIONER FOR PATENTS
Washington, DC 20231

Sir:

Transmitted herewith for filing is the patent application of:

Inventor: Gregory H. Slocum

Entitled: SYSTEM AND METHOD FOR DEMAND PLANNING USING SEQUENTIAL FORECASTING

Pursuant to 37 C.F.R. § 1.213(a), Applicant(s) respectfully requests that the above-identified patent application not be published under 35 U.S.C. § 122(b). Applicant(s) hereby certifies that the invention disclosed in the above-identified application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Enclosed are:

- ☒ Specification, Claims and Abstract (30 Total Pages)
☒ Drawing(s) (2 Total Sheet(s) of X Formal Informal)
☒ Combined Declaration and Power of Attorney (3 Total Pages)
☒ Newly executed (original or copy)
☐ Copy from a prior application
 (for continuation/divisional only)
☒ An Assignment of the invention to i2 Technologies, Inc. is attached. A separate cover sheet in compliance with 37 C.F.R. § 3.28 and § 3.31 is included with an Assignment recordal fee of \$40.00 pursuant to 37 C.F.R. § 1.21(h).
☐ Information Disclosure Statement (IDS) PTO-1449 (page)
☐ Copies of IDS Citations.
☐ Preliminary Amendment (page)
☒ Certificate of Mailing (1 page)
☒ Return Receipt Postcard
☐ Other

Express Mail No.: EL 759190581 US

Attorney's Docket No.:
020431.0731

PATENT
APPLICATION

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Applicant is:

☒ Large Entity
☐ Small Entity

The accompanying application is:

☒ Original
☐ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP) of prior
application No. _____ which is hereby incorporated by reference therein.

FEE CALCULATION (LARGE ENTITY)					FEE
	Number		Number Extra	Rate	<u>Basic Fee</u> \$710.00
Total Claims:	36	-20 =	16	X \$18.00	\$288.00
Independent Claims	9	- 3 =	6	X \$80.00	\$480.00
TOTAL FILING FEE =					\$1,478.00

☒ Enclosed is a check in the amount of **\$1,478.00** to satisfy filing fee requirements under 37 C.F. R. § 1.16.

☒ Please charge any additional fees or credit any overpayment to Deposit Account No. 02-0384 of BAKER BOTTS L.L.P. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

BAKER BOTTS L.L.P.
Attorneys for Applicant



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Dated: January 26, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Gregory H. Slocum
Date Filed: January 26, 2001
Title: SYSTEM AND METHOD FOR DEMAND PLANNING
USING SEQUENTIAL FORECASTING

BOX PATENT APPLICATION

Honorable Commissioner of
Patents and Trademarks
Washington, DC 20231

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Patent Application (30 pages, including Specification, Claims and Abstract), Declaration and Power of Attorney, 2 sheets of Formal Drawings, Assignment Recordation Form and Assignment, a check in the amount of \$40.00 to cover the Assignment recordal fee, Fee Transmittal Sheet, a check in the amount of \$1,478.00 to cover the patent application filing fee, and this Certificate of Mailing are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 26th day of January, 2001 and are addressed to the Honorable Commissioner for Patents, Washington, DC 20231.


Willie Jiles

Express Mail Receipt: EL 759190581 US
Attorney's Docket No.: 020431.0731